PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Please type a plus sign (+) inside this box ——

Attorney Docket No. 0125.0

First Inventor Gerald R. Herren

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Title | Concentric Electric Motor Additive Speed Drive | Express Mail Label No. | EI 136438911 US

| See MFPP chapter 600 concerning utility patent application contents.   See Transmittal Form (e.g., PTO/SB/17)   Fee Transmittal Form (e.g., PTO/SB/17)   Provided the second contents of the provided p   | APPLICA   | ATION ELEMENTS   | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application | ,   |  |  |
|--|---|--|---|-----|--|--|
| Newty executed (original or copy)   Solution Data Sheet. See 37 CFR 1.78   | See MPEP chapter 600 cond   | cerning utility patent application contents.   |   |     |  |  |
| Applicant claims small entity status.  See 37 CFR 127.  Total Pages  Computer Readable Form (CRF)  Description title of the invention  Cross Reference to Related Applications  Statement Regarding Fed sponsored R & D  Reference to Sequence Listing appendix  Background of the Invention  Brief Summary of the Invention  Brief Description of the Drawings (if filed)  Detailed Description  Claim(s)  Abstract of the Disclosure  A Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]  Capital Description of the Orawings (if filed)  Detailed Description of the Drawings (if filed)  Detailed Oser and the Invention of the Orawings (if filed)  Detailed Oser and the Invention of the Orawings (if filed)  Detailed Oser and Inventor of the Or |   |  |   |     |  |  |
| a.   | 2 Applicant claims  | small entity status.   | 8. Nucleotide and/or Amino Acid Sequence Submission                   |     |  |  |
| - Descriptive tills of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Claim(s) - Abstract of the Disclosure  4. ▼ Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]  5. Oath or Declaration  a ▼ Newly executed (original or copy)  b ─ (For continuation a prior application (37 CER 1.63 (d)) (for continuation/divisional with Box 18 completed)  i. ─ DELETION OF InveNTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.53(d)(2) and 1.33(b).  6. ─ Application Data Sheet under 37 CER 1.76:  17. ○ Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application about a Sheet under 37 CER 1.76:  17. ○ Other:  18. If a CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box Sh. is considered a part of the disclosure of the accompanying continuation on divisional application and is hereby incorporated by reference. The incorporation gan only be relied upon when a portion has been inadvertently omitted from the aubmitted application parts.  19. CORRESPONDENCE ADDRESS  2333 Brighton Parms Blvd.  Ad  |   | [Total Pages ]]  |   |     |  |  |
| - Statement Regarding Fed sponsored R & D - Reference to sequence fisting, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Detailed Description - Claim(s) - Abstract of the Disclosure  4.   | - Descriptive title   | of the invention   | *· L '  |     |  |  |
| or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure  4.  |   |  | i. CD-ROM or CD-R (2 copies); or                                      |     |  |  |
| - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Claim(s) - Abstract of the Disclosure  4. X Drawing(s) (35 U.S.C. 113)  |   |  | ii. paper   |     |  |  |
| - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.  \[ \infty Drawing(s) (35 U.S.C. 113) \] [ Total Sheets \[ 5 \] ] 5. Oath or Declaration \[ Total Pages \[ 2 \] ] 6. Oath or Declaration \[ Total Pages \[ 2 \] ] 7. Newly executed (original or copy) 8. Newly executed (original or copy) 9. Newly executed (original or copy) 12. \[ \infty Information Disclosure \[ \infty Copy from a prior application (37 CFR 1.63 (d)) \] (for continuation/divisional with Box 18 completed) 13. \[ \infty Preliminary Amendment \[ \text{Copy from a prior application with Box 18 completed)} \] 14. \[ \infty Return Receipt Postcard (MPEP 503) \] (Shudd be specifically itemized) 15. \[ \infty DELETION OF INVENTOR(S) \] Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR \] 1.53(q)(2) and 1.33(b). 16. \[ \infty DELETION OF INVENTOR(S) \] 15. \[ \infty Certified Copy of Priority Document(s) \] 16. \[ \infty Requisition Under 35 U.S.C. 122 \] (b)(2)(B)(1), Applicant must attach form PTO/SB/35 \] or its equivalent.  17. \[ \infty Other: \] 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  \[ \infty Continuation Divisional Divisional Divisional Continuation Prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation gan only be relied upon when a portion has been inadventerity omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  \[ \infty Correspondence address below \]  Name    Xinka A. Wilson, Wilson A. Application Farms Blvd.   State   TN  |   |  | c. Statements verifying identity of above copies                      | •   |  |  |
| - Detailed Description - Claim(s) - Claim(s) - Abstract of the Disclosure  4.  |   |  | ACCOMPANYING APPLICATION PARTS  |     |  |  |
| - Abstract of the Disclosure  4.   | - Detailed Descr  |  | 9. Assignment Papers (cover sheet & document(s))                      |     |  |  |
| 4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ] 111. English Translation Document (if applicable) 5. Oath or Declaration   Total Pages 2 ] 12. X Statement (IDS)/PTO-1449   Copies of IDS Statement (I | ` '   | Disclosure   | 140   |     |  |  |
| 5. Oath or Declaration  a. X Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63 (d))  copy from a prior application (37 CFR 1.63 (d))  for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(s)  signed statement attached deleting inventor(s)  named in the prior application, see 37 CFR  1.53(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:  18. If a CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insent Label Number or Bar Code Label Republication Farms Blvd.  Address  City Knoxville State TN Zip Code 37932  Country Telephone 865-693-6281 Fax 865-694-3499   | ( <del></del>   | <b>5</b>   | (when there is an assignee) — Attorney                                |     |  |  |
| a. X Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) c. Copy from a prior application (37 CFR 1.63 (d)) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(e) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation—Part (CIP) Prior application No. Divisional Divisional Continuation—Part (CIP) Prior application No DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 58, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Kirk A. Wilson, Wilson Tutter Dises 2333 Brighton Farms Blvd.  Address  City Knoxville  Telephone 865-693-6281  Fax 865-694-3499  | <del></del>   |  | 12 X Information Disclosure X Copies of IDS                           | s   |  |  |
| b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:    Continuation   Divisional   Continuation-in-part (CIP)   Of prior application No.   / Correspondence address below    For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.    Customer Number or Bar Code Label   (insert Customer Structure)   State   TN   Zip Code   37932   Country   Telephone   865-693-6281   Fax   865-694-3499   Country   Telephone   865-693-6281   Fax   865-694-3499   Country   Telephone   865-693-6281   Fax   865-694-3499   Country   Telephone   Requirement   Fax   Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   14.   X   Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   15.   Cipril Conditional (Should be specifically itemized | [ਦ]   |  | Otatement (IDO)// 10-1748   |     |  |  |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Continuation or Priority indication under 35 U.S.C. 122 (b)(2)(B)(I)(I). Applicant must attach form PTO/SB/35 or its equivalent.  17. Other:  18. If a CONTINUATION DATE of Prior application below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Group Art Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  2333 Brighton Farms Blvd.  Address  City  Knoxville  State  TN  Zip Code  37932  Country   | Copy from a   | prior application (37 CFR 1.63 (d))  | Return Receipt Postcard (MPEP 503)                                    |     |  |  |
| Request and Certification under 35 U.S.C. 122 (b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its equivalent.   16.   Request and Certification under 35 U.S.C. 122 (b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its equivalent.   17.   Other:   Other   | i. DELETION OF INVENTOR(S)  15 Certified Copy of Priority Document(s) |  |   |     |  |  |
| 1.63(d)(2) and 1.33(b).  (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  Other:  (The continuation Data Sheet. See 37 CFR 1.76  (The continuation Data Sheet under 37 CFR 1.76:  (The continuation Data Sheet under 37 CFR 1.76:  (The continuation Data Sheet under 37 CFR 1.76:  (The continuation Divisional Continuation of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  (Insert Divisional Divisional APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  (Insert Divisional Divisional APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is conside | •                               |  | Popular and Cortification under 25 U.S.C. 122                         |     |  |  |
| Application Data Sheet. See 37 CFR 1.76    17.   | 1.63(d)(2)  | 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35   |   |     |  |  |
| or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of pnor application No/  Prior application information Examiner Group Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS     Customer Number or Bar Code Label (Insert Code Label Insert       | 6 Application Data Chart Con 27 CED 1.76                              |  |   |     |  |  |
| Continuation Divisional Continuation-in-part (CIP) of prior application No/  | 18. If a CONTINUING APPLI   | ICATION, check appropriate box, and suppl  | y the requisite information below and in a preliminary amendmen       | it, |  |  |
| Prior application information  Examiner  |   | [ <del>"-</del> 1  | of ones application No.   |     |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Contraction |   | , · · ·  |   |     |  |  |
| The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS    Customer Number or Bar Code Label   (Insert   Insert   Insert  |   | IONAL APPS only: The entire disclosure of the  | prior application, from which an oath or declaration is supplied und  |     |  |  |
| Name  Kirk A. Wilson, Wilson Enterprises  2333 Brighton Farms Blvd.  Address  City  Knoxville  State  TN  Zip Code 37932  Country  Telephone 865-693-6281  Fax 865-694-3499  |   |  |   | e.  |  |  |
| Name  Kirk A. Wilson, Wilson Enterprises  2333 Brighton Farms Blvd.  Address  City  Knoxville  State  TN  Zip Code 37932  Country  Telephone 865-693-6281  Fax 865-694-3499  |   |  |   |     |  |  |
| Address  City  Country  Kirk A. Wilson, Wilson Enterprises  2333 Brighton Farms Blvd.  State  TN  Zip Code 37932  Fax 865-694-3499   | Customer Number or Bar Co   | ode Label (Insert Caracter Insert Caracter Insert Caracter Insert Caracter Insert Caracter Insert Insert Caracter Insert Carac | de label here) or X Correspondence address below                      |     |  |  |
| 2333 Brighton Farms Blvd.  | Name  | Kirk A. Wilson, Wilson Enterprise  | es  |     |  |  |
| Address         City         Knoxville         State         TN         Zip Code         37932           Country         Telephone         865-693-6281         Fax         865-694-3499   |   | 2333 Brighton Farms Blvd.  |   |     |  |  |
| Country Telephone 865-693-6281 Fax 865-694-3499  | Address   |  |   |     |  |  |
| Country Telephone 865-693-6281 Fax 865-694-3499  | City  | Knoxville  | State TN Zip Code 37932   | _   |  |  |
|  | Country   | Telej  | phone   865-693-6281   Fax   865-694-349                              | 99  |  |  |
| Name (Print/Type)   Kirk K Wilson   Registration No. (Attorney/Agent)   45 030   | Name (Print/Type)   | Kirk K. Wilson   | Registration No. (Attorney/Agent) 45,939                              | 7   |  |  |
| Signature Kick a. William Date 2/15/02   |   |  |   |     |  |  |

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

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|--------------------------------|--|--|--|--|--|
| Complete if Known              |  |  |  |  |  |
| Application Number             |  |  |  |  |  |
| Filing Date                    |  |  |  |  |  |
| First Named Inventor           | Gerald R. Herren                                       |  |  |  |  |
| Examiner Name                  |  |  |  |  |  |
| Group Art Unit                 |  |  |  |  |  |
| Attorney Docket No.            | 0125.0   |  |  |  |  |

| METHOD OF PAYMENT  | FEE CALCULATION (continued)  |          |  |  |  |
|--|--|----------|--|--|--|
| 1. The Commissioner is hereby authorized to charge   | 3. ADDITIONAL FEES   |          |  |  |  |
| indicated fees and credit any overpayments to:  Deposit  | Large Small  |          |  |  |  |
| Account  | Entity Entity Fee Fee Fee Fee Fae Description  | Con Daid |  |  |  |
| Number   | Fee Fee Fee Fee Fee Description Code (\$) Code (\$)                                      | Fee Paid |  |  |  |
| Deposit<br>Account<br>Name   | 105 130 205 65 Surcharge - late filing fee or oath                                       |          |  |  |  |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |  |  |  |
| Applicant claims small entity status.  | 139 130 139 130 Non-English specification  |          |  |  |  |
| See 37 CFR 1.27  | 147 2,520 147 2,520 For filing a request for ex parte reexamination                      |          |  |  |  |
| 2. Payment Enclosed:   | 112 920* 112 920* Requesting publication of SIR prior to                                 |          |  |  |  |
| Check Credit card Money Other  | Examiner action  |          |  |  |  |
| FEE CALCULATION  | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action                |          |  |  |  |
| 1. BASIC FILING FEE  | 115 110 215 55 Extension for reply within first month                                    |          |  |  |  |
| Large Entity Small Entity  | 116 400 216 200 Extension for reply within second month                                  |          |  |  |  |
| Fee Fee Fee Fee Description  | 117 920 217 460 Extension for reply within third month                                   |          |  |  |  |
| Code (\$)         Code (\$)         Fee Paid           101 740 201 370 Utility filing fee         370.00 | 118 1,440 218 720 Extension for reply within fourth month                                |          |  |  |  |
| 106 330 206 165 Design filling fee   | 128 1,960 228 980 Extension for reply within fifth month                                 |          |  |  |  |
| 107 510 207 255 Plant filing fee   | 119 320 219 160 Notice of Appeal   |          |  |  |  |
| 108 740 208 370 Reissue filing fee   | 120 320 220 160 Filing a brief in support of an appeal                                   |          |  |  |  |
| 114 160 214 80 Provisional filing fee  | 121 280 221 140 Request for oral hearing   |          |  |  |  |
| 200000   | 138 1,510 138 1,510 Petition to institute a public use proceeding                        |          |  |  |  |
| SUBTOTAL (1) (\$) 370.00   | 140 110 240 55 Petition to revive - unavoidable  |          |  |  |  |
| 2. EXTRA CLAIM FEES Fee from   | 141 1,280 241 640 Petition to revive - unintentional                                     |          |  |  |  |
| Extra Claims below Fee Paid  | 142 1,280 242 640 Utility issue fee (or reissue)   |          |  |  |  |
| Total Claims 8 -20** = X = 0.00  | 143 460 243 230 Design issue fee   |          |  |  |  |
| Claims2 -3 ^ = 0.00  | 144 620 244 310 Plant issue fee  |          |  |  |  |
| Multiple Dependent =0.00   | 122 130 122 130 Petitions to the Commissioner  |          |  |  |  |
| 1 = 4 = 4  | 123 50 123 50 Processing fee under 37 CFR 1.17(q)  |          |  |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description  | 126 180 126 180 Submission of Information Disclosure Stmt                                |          |  |  |  |
| Code (\$) Code (\$)<br>103 18 203 9 Claims in excess of 20   | 581 40 581 40 Recording each patent assignment per property (times number of properties) |          |  |  |  |
| 102 84 202 42 Independent claims in excess of 3  | 146 740 246 370 Filing a submission after final rejection                                |          |  |  |  |
| 104 280 204 140 Multiple dependent claim, if not paid  | (37 CFR § 1.129(a))  |          |  |  |  |
| 109 84 209 42 ** Reissue independent claims over original patent   | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))         |          |  |  |  |
| 110 18 210 9 ** Reissue claims in excess of 20   | 179 740 279 370 Request for Continued Examination (RCE)                                  |          |  |  |  |
| and over original patent   | 169 900 169 900 Request for expedited examination of a design application                |          |  |  |  |
| SUBTOTAL (2) (\$) 0.00   | Other fee (specify)  |          |  |  |  |
| **or number previously paid, if greater; For Reissues, see above   | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)                                      | 0.00     |  |  |  |

| SUBMITTED BY      |                |  | Complete (if applicable) |              |
|-------------------|----------------|--|--------------------------|--------------|
| Name (Print/Type) | Kirk A. Wilson | Registration No. 45,939 (Attorney/Agent) | Telephone                | 865-693-6281 |
| Signature         | Kieb a Wilson  |  | Date                     | 2/15/02      |

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